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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/589,142	06/07/2000	Shigefumi Masuda	FUJI 17.390	8638

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EXAMINER

SHANG, ANNAN Q

ART UNIT	PAPER NUMBER
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2617

DATE MAILED: 12/19/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

<b>Office Action Summary</b>	<b>Application No.</b>	<b>Applicant(s)</b>	
	09/589,142	MASUDA ET AL	
	<b>Examiner</b>	<b>Art Unit</b>	
	Annan Q. Shang	2617	

**-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --**

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 22 September 2005.
- 2a) ☒ This action is **FINAL**.                      2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1-7 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-7 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All    b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- |   |   |
|---|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892)                        | 4) <input type="checkbox"/> Interview Summary (PTO-413)                     |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)    | Paper No(s)/Mail Date. _____  |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152) |
| Paper No(s)/Mail Date _____   | 6) <input type="checkbox"/> Other: _____                                    |

## **DETAILED ACTION**

### ***Response to Arguments***

1. Applicant's arguments with respect to claims 09/22/05 have been considered but are moot in view of the new ground(s) of rejection.

With respect to claim 1-5 and 7 rejected under 102(B) as being anticipated by Curry et al (3,750,022), Applicant discusses the teaching of the prior art of records and argues that the "Noise-ME 25 would at each PH-Sub 27 (col.20, lines 12-19 of Curry et al.), the Noise-ME would still operate under the control of the LPC 16 by performing noise measurement according to instructions from the LPC-16 and transmitting the digitized noise measurement to the LPC 16...As such, the actual attenuation and amplification of the signals would be independent of the location of the Noise-ME 25 since each PH-Sub 27 would monitor a digital signal from the head end (LPC 16) to generate the attenuation control signal."

In response, Examiner disagrees. Examiner notes Applicant's arguments, however, the amended independent claim does not over the prior art of records. Curry teaches that Noise-ME 25, located at HE 13, monitors and measures, in a conventional manner, the noise levels of the upstream transmissions to LPC 16 and generate a signal to control LPC 16 to control subsequent upstream transmissions to minimize the reception of upstream noise and interference, if any noise exceeds a preselected threshold level (col. 3, lines 31-42), Curry further teaches that the Noise-ME 25 may be located at the PH-Sub 27 (col. 20, lines 12-19), to perform the above stated function of Noise-ME 25. In other words, the generated control signal by Noise-ME 25 at each PH-

Sub 27 causes each of the PH-Sub 27 to boost a transmission level of the upward signals by an amount compensating for attenuation of the upward signals by the Noise-ME 25. As further discloses in col.20, lines 29-34, "each phantom subscriber could perform other command functions other than those described. For example, a phantom subscriber could be used to control the gain as a function of frequency across the bandwidth of either or both of the upstream and downstream amplifiers in its locality." In other words the actual attenuation of the Noise-ME 25 is specific to each PH-Sub 27 (note "amplifiers in its locality").

Hence the amended independent claim does not overcome the prior art of records since Curry teaches providing a Noise-ME 25 and Line-CC located at PH-Sub 27, which is between HE 13 and plurality of PH-Subs 87, 57, 39 and 29, where Noise-ME 25 and Line-CC detects the noise increase regarding the upstream signals and generates a control signal indicative of the noise increase, and is directly triggered by the control signal to attenuate the upstream signals by an increased amount, as discussed below in the Office Action. The amendment to independent claim 1 necessitated the new ground(s) of rejection. This office action is made Final.

### ***Claim Rejections - 35 USC § 102***

2. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

3. Claims 1-5 and 7 are rejected under 35 U.S.C. 102(b) as being anticipated by **Curry et al (3,750,022)**.

With respect to claims 1, note the **Curry et al** reference figures 1, 3 and 5 disclose a system for minimizing upstream noise in a subscriber response cable television system and further disclose a system for reducing noise in a signal line, through which signals and downward signals are transmitted between a center (Head End "HE" 13) and terminals comprising:

the claimed "a noise-reduction device, provided between the center and the terminals..." is met by Line Control Circuit (Line-CC) 27 and Noise Measuring Equipment (Noise-ME) 25 (Line-CC/Noise-ME) 27/25 (col. 5, lines 5-10 and col. 20, lines 12-34), which are contained in Phantom Subscriber (PH-Sub) 29 and provided between HE 13 "center" and a plurality of PH-Subs 87, 57, 39 and 29 "terminals," detects a noise increase regarding the upstream "upward" signals on the signal line and generates a control signal indicative of the noise increase, and is directly triggered by the control signal which instructs Switchable Attenuators (SA) 35 (fig. 5) to control attenuation of the upstream signals by an increased amount (col. 3, lines 34-41); note PH-Sub 29 further includes Noise-ME 25, such as Noise-ME 25 at HE 13, col. 20, lines 12-34, and performs identical functions as NME 25 at HE 13, i.e., monitors and measures, in a conventional manner, the noise levels of the upstream transmissions to LCC 27 and any noise exceeding a preselected threshold level causes NME 25 of PH-Sub 29 to generate a signal which causes the LCC 27 to control subsequent upstream

transmissions to minimize the reception of upstream noise and interference (col. 3, lines 31-42).

the claimed "noise control device, provided at the terminals, which boosts a transmission level of the upward signals by an amount compensating for the attenuation of the upward signals by said noise-reduction device" is met by phantom subscriber 39, which is operative to instruct line amplifier 43 at line control unit 38 (claimed terminal) to boost transmission levels. As taught in column 9, lines 46-58, line amplifier 43 operates under control of phantom subscriber 39 to boost a transmission level in response to commands from LPC 16 or Line-CC detecting an increased upstream noise level. The signal then passes through SA 35, which lies between amplifier 43 and the HE (see Figure 5).

As to claim 2, Curry further discloses where Line-CC/Noise-ME 27/25 NME 25 of PH-Sub 29 including a Noise-ME 25 "noise-level-check unit" which compares the signal component and a noise component and detects a noise increase based on the comparison or well known signal to noise ration (col. 3, lines 31-41 and col. 9, lines 3-8) and Line-CC/Noise-ME 27/25 NME 25 of PH-Sub 29 further includes SA 35 "an attenuator" that attenuates the upstream signals by the increased amount if the Noise-ME 25 detects the increase, and transmits a tone signal via downward signals if Noise-ME 25 detects the noise increase (col. 3, lines 59-65 and col. 20, lines 15-30).

As to claim 3, the claimed noise-control-device including a tone-detection unit which detects the tone signal is met by PH-Sub 39 which operates in response to instructions from LPC 16 or Line-CC to vary amplifier gain in the presence

of noise. Command register 213 of Figure 10 registers commands from control signals (col. 3, lines 59-65); the claimed "variable amplifier to boost amplification of upward signals by an amount compensating for the attenuation of the upward signals by said attenuator" is met as noted above by variable amplifier 43 which increases gain by substantially the same amount as the signal is attenuated (col. 9, lines 46-58).

As to claim 4, the claimed "tone or more noise reduction devices . . . are provided in one or more of a two-way amplification unit and splitter units provided between the center and the terminals" is met by phantom subscriber unit 29 and SA 35 being provided within line control unit 27 (fig. 3) and includes switching units 111, 113 . . . and filters 106, 107 . . . as well as amplifiers 137 and 139 which constitute a "bi-directional amplification unit" as claimed.

As to claim 5, the claimed boosting transmission levels by an amount "compensating for a total attenuation of the upward signals of all of said one or more noise-reduction devices" is met as noted above by boosting signals using variable amplifier 43 to increase gain by substantially the same amount as the signal is attenuated (col. 9, lines 46-58).

As to claim 7, the obtaining of a level of a signal component is met as noted above by detecting a noise level with Noise-ME 25. As is well known and taught in col. 9, lines 3-8, a signal to noise ratio is determined during this process. As taught in col. 3, lines 59-65 an upper pilot tone may be inserted for testing or control purposes into the 116 to 120MHz band, meeting the claimed high frequency signal included within a frequency range and command register 213 (fig. 10), registers commands from control

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signals. Curry inherently teaches the claimed "subtraction unit" to obtaining a noise level from an upstream signal (col. 9-10), note the numerous mathematical operations including subtraction to obtain signal levels are performed. Noise-ME 25 also compares a noise level with a threshold or "reference level" and detects a noise increase based on the comparison (col. 3, lines 34-42).

***Claim Rejections - 35 USC § 103***

4. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

5. Claim 6 are rejected under 35 U.S.C. 103(a) as being unpatentable over **Curry et al. (3,750,022)** in view of **Schwartzman et al. (6,385,773)**.

As claim 6, the claimed noise-reduction device comprising a unit for "obtaining a level of a signal component demodulated through coherent detection of the upward signals" is taught by Curry with line control circuit 27 that may include a unit for sampling noise (col. 20, lines 15-30) to monitor and measure noise in a conventional manner (col. 3, lines 34-42). As is well known and taught in column 9, lines 3-8, a signal to noise ratio is determined during this process to determine a measure of noise. Curry fails to teach obtaining a level of noise "through detection of noises observed on the signal line during a time period when no signal component is present."



However, note **Schwartzman** teaches a system and method for determining an optimum upstream frequency channel based on noise and bit-error-rate assessments and further teaches determining an intrinsic power level as a measure of the noise level at a time when no data or signal is being transmitted (col. 11, lines 38-51), comparing a signal level to the level of a noise component (fig. 4, step 408).

Therefore it would have been obvious for one skilled in the art at the time of the invention to modify the system of Curry by monitoring a base noise measurement as taught by Schwartzman in order to ensure a high rate of data integrity (col. 7, lines 57-58).

### ***Conclusion***

6. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire **THREE MONTHS** from the mailing date of this action. In the event a first reply is filed within **TWO MONTHS** of the mailing date of this final action and the advisory action is not mailed until after the end of the **THREE-MONTH** shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of

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the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

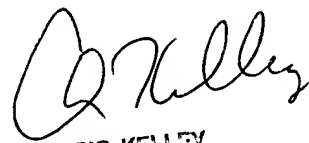
7. Any inquiry concerning this communication or earlier communications from the examiner should be directed to **Annan Q. Shang** whose telephone number is **571-272-7355**. The examiner can normally be reached on 700am-400pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, **Christopher S. Kelley** can be reached on **571-272-7331**. The fax phone number for the organization where this application or proceeding is assigned is **571-273-8300**.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the **Electronic Business Center (EBC) at 866-217-9197 (toll-free)**.



**Annan Q. Shang.**



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